RULE BOOK

Effective from Rules Conference 2019
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(v.1Sept19)
RULE 1. TITLE AND REGISTERED OFFICE

1.1 The Union formed under these rules (hereinafter called the Union) shall be known by the title of “Unite the Union”.

1.2 This Rule Book applies to all members of the Union, and represents the entirety of the rules applicable to members of the Union, save as explicitly provided for under this Rule Book.

1.3 The Registered Office of the Union shall be Unite House, 128 Theobalds Road, London WC1X 8TN or such other place as may be decided upon by the Executive Council.

RULE 2. OBJECTS

2.1 The objects of the Union shall be:

2.1.1 To organise, recruit and represent workers, and strengthen workplace organisation, to encourage membership involvement and participation to achieve real union power for its members.

2.1.2 To defend and improve its members’ wages and working conditions including the pursuit of equal pay for work of equal value and extend collective bargaining. This being the best and most effective means of distributing wealth, improving the wages and conditions of workers, and giving workers collective power in the workplace. To help our members win in the workplace, using the union’s resources to assist in that process, and to support our members in struggle including through strike action.

2.1.3 To defend and improve the social and economic well-being of members and their families, both directly and via commensurate policies in relation to society both domestically and abroad.

2.1.4 To have a strong political voice, fighting on behalf of working peoples’ interests, and to influence the political agenda locally, regionally, nationally and internationally, so as to promote a socialist vision for

- a more equal society in which wealth is distributed from the rich to the poor, including by means of progressive taxation and other regulatory measures to restrict excessive wealth
- a collective society in which public services are directly provided on the basis of public need and not private greed, and a fair system of welfare and benefits to support those in need
- public ownership of important areas of economic activity and services, including health, education, water, post, rail and local passenger transport.

2.1.5 To further political objectives including by affiliation to the Labour Party.

2.1.6 To promote equality and fairness for all, including actively opposing prejudice and discrimination on grounds of gender, race, ethnic origin, religion, class, marital status, sexual orientation, gender identity, age, disability or caring responsibilities.
2.1.7 To affiliate to the TUC, ICTU, Welsh and Scottish TUCs and other appropriate trade union cooperation/coordination bodies domestically and internationally.

2.1.8 To promote constructive cooperation between trade unions internationally to further the interests of members of the Union and those in other unions in dealing with the direct and indirect impact of globalisation.

2.1.9 To promote education and training; both vocational and industrial and otherwise as conducive to these objects.

2.1.10 To provide such financial and other benefits and legal assistance to members as may be specified in these rules.

2.1.11 To do all such other things as may in the opinion of the Executive Council be incidental or conducive to the attainment of these objects.

2.1.12 To communicate information to members about union activities, campaigns, services and/or benefits, so as to encourage participation and further these objects.

2.1.13 The Union’s procurement policy shall be based, wherever possible, on sourcing assets and services from organised labour within the UK or internationally, if unavailable in the UK. The union will maintain a list of union recognised sources of asset and service providers. Periodically, the union will consult with the relevant industrial sectors to ensure the list remains comprehensive and accurate.

2.1.14 The union will conduct its procurement process in line with the Ethical Trading Initiative (ETI) Base Code. The ETI Base Code is based on internationally recognised standards of the International Labour Organisation, the UN agency responsible for labour standards, which the UK and Ireland has signed up to.

RULE 3. MEMBERSHIP

3.1 The Executive Council shall define the categories of membership. Where the Union organises or represents persons engaged in an occupation or seeks to do so, any person engaged in that occupation shall be eligible for membership of the Union, subject to these rules.

3.2 There shall be other categories of membership as may from time to time be determined by the Executive Council. These categories shall include:

- Retired Members Plus
- Community/Student Member
- Back to Work Member
- Apprentice/Trainee Member

The Executive Council shall determine the qualifications for membership of these categories as well as the level of contribution and entitlement to benefit. Membership
of Retired Members Plus and Community/Student Membership shall not accord an entitlement to vote in any ballot or election held by the Union other than:

I. An election to the office of General Secretary under Rule 15 and 16.

II. Elections within Retired Members Plus and community/Student Member structures, or:

III. As otherwise specified in these Rules or any ballot or election in which all members must by statute be accorded an unconditional entitlement to vote.

3.3 Members of the union who upon retirement wish to remain in membership but not as Retired Members Plus shall be organised as “ordinary” retired members. Such “ordinary” retired members shall not be afforded any entitlement to vote in any ballot or election held by the Union.

3.4 Any eligible person may apply for membership by completing the appropriate application form agreeing to be bound by the rules of the Union and submitting it to the Union office or by electronic means as may be provided for via the Union’s website. An applicant shall become a member when his/her application has been approved and he/she has been entered into the register of members. Such approval process may require receipt by the Union of the contribution applicable under these rules.

3.5 Each member must notify the Union’s membership department of any subsequent change of address, and in the absence of such notice the Union shall be entitled to treat the address shown on that member’s application form as the member’s address for all correspondence.

3.6 Each member must notify the Union’s membership department of any subsequent change of workplace or contribution category status.

3.7 If an applicant has previously been a member of the Union (or any of its predecessors), the Executive Council may, as a condition of re-admission, require the applicant to pay some or all of any monies owed by the applicant to the Union (or any of its predecessors).

3.8 The Executive Council may reject an application if in its opinion the conduct of the applicant has at any time been such as would justify a disciplinary charge under these rules against a member of the Union who behaved in a similar fashion.

3.9 Any period of continuous membership of a predecessor union at the point of amalgamation or transfer shall count as continuous membership of the Union for the purposes of these rules.

3.10 For the purposes of producing a Membership Audit Certificate as required by Sections 24ZA, 24ZB and 24ZD of the Trade Union and labour Relations (Consolidated) Act 1992, the Union is required to appoint an assurer. The assurer must be qualified in accordance with Section 24ZB and the appointment and removal of the assurer shall be subject to the provisions of Section 24ZC of the Trade Union and Labour Relations (Consolidation) Act 1992.
RULE 4.  MEMBERSHIP CONTRIBUTIONS and BENEFITS

4.1 It shall be the personal responsibility of the member to maintain contributions and avoid arrears in all circumstances. Any period during which a member’s contributions are 13 or more weeks in arrears shall break continuity of membership for the purpose of the qualification required to be an officer employed by the Union set out in Rule 14 and lose entitlement to vote in any election or ballot held by the Union, save where a member establishes to the satisfaction of the Executive Council that the arrears arose through no fault of that member. A member whose contributions are recorded by the Union as more than 39 weeks in arrears will be excluded from membership by the Union communicating notice to that effect to the member. A member so excluded from membership may apply for reinstatement which may be allowed on such terms as to the payment of outstanding arrears as the Executive Council may consider appropriate.

4.2 The Executive Council shall determine the period of membership necessary prior to the member attaining eligibility for benefits, and any period during which contributions are in arrears which shall remove such eligibility.

4.3 The Executive Council shall determine the level of contributions for each category of membership.

4.4 The Executive Council shall determine the scope and level of benefits.

4.4.1 Such benefits may include such things as: DriverCare, funeral, incapacity, personal accident (death, loss of limb/eye, permanent disability), maternity/adoption, paternity, convalescence, professional liability insurance, etc. Without interference to the overriding authority granted by this rule to the Executive Co (to determine the scope and level of benefits), funeral benefit shall be paid to all non-retired working members as at the 1st Day of September 2009 at the same rate.

4.4.2 Maximum sum assured. The Union shall not have the power to assure for any member/individual provident benefits amounting to more than £4,000 by way of a gross sum or £825 by way of an annuity.

4.5 The Union shall pay dispute benefit in such circumstances and on such terms as are decided by the Executive Council from time to time.

4.6 The Union will provide legal assistance, as follows:

4.6.1 A member who is entitled to benefit who suffers injury or disease arising out of or in connection with his/her employment (or the dependants of such a member who has died) shall be entitled to such legal advice and representation, and on such terms, as the Executive Council may consider appropriate.

4.6.2 A member seeking legal assistance must ensure that a request in the appropriate form is lodged at the appropriate Union office in sufficient time and with sufficient information to enable the request to be considered and appropriate action taken.

4.6.3 A member who requires advice and/or representation on a problem relating to the member’s employment which first arose at a time when the member was entitled to benefit and which cannot be resolved through the member’s
workplace representative should refer the matter to the appropriate Regional Officer. The Union may provide such advice and/or representation as the Executive Council shall consider appropriate, whether by a full time officer or otherwise, and on such terms as the Executive Council shall consider appropriate.

4.6.4 The Executive Council may provide such additional legal advice and representation to members and to members’ families as it may consider appropriate.

4.6.5 The Executive Council may extend legal assistance to a member who is not otherwise entitled to benefits.

4.6.6 A member who is given advice and/or representation under this rule shall provide all relevant information and co-operate fully with the compilation of evidence for any legal proceedings and shall comply with any other obligations and/or conditions set out in any arrangements for the provision of legal assistance. If a member fails to do so or provides false or misleading information or fails to act upon the advice of those appointed to represent him/her, the Executive Council may at its absolute discretion annul all legal assistance or withdraw any further legal assistance to that member.

4.7 The Executive Council shall have discretion to provide additional benefits.

4.8 Before reinstating a lapsed member’s membership it will be ascertained that they have not been previously expelled from the Union. They will also be advised that they will not receive representation or advice on any employment issue that has arisen during the period where the lapse has occurred.

4.9 The Executive Council may provide, agree and permit other organisations to provide to members and their households insurance, financial, legal, consumer and other services and products and loyalty or other similar schemes and Unite may provide information by direct mailing or otherwise to members concerning such services, products and schemes.

RULE 5. OBLIGATIONS OF MEMBERS

5.1 A member of the Union must comply with these rules and with any duty or obligation imposed on that member by or pursuant to these rules whether in his/her capacity as a member, a holder of a lay office or as an employee of the Union.

5.2 A member must not knowingly, recklessly or in bad faith provide the Union with false or misleading information or malicious false complaints relating to a member including those holding lay office as accountable representatives of workers or employees of the Union or any aspect of the Union’s activities.

5.3 A motion shall not be submitted by or on behalf of the Union or any group or body within the Union to an organisation or body outside the Union if that motion is inconsistent with existing Union policy.
5.4 When acting as a representative of the Union at a meeting of an organisation or body outside the Union a member shall speak and vote in accordance with the policy of the Union and with any decision taken by the Union’s representatives at that meeting which is consistent with the Union’s policy.

RULE 6. LAY OFFICE

6.1 The Executive Council shall make provision to ensure accountability of Regional, Industrial and Equalities Executive Council members and those Executive Council members elected pursuant to rule 14 shall meet with their respective National Industrial Sector, National Equalities or Regional Committees at least four times per year.

6.2 In order to be eligible to be a candidate for election to the Executive Council and/or any committee, council, or other body of the Union provided for by these rules, the member in question must be an accountable representative of workers, with the exception of Area Activists Committees and Regional Labour Party Liaison Committees and the young members’ structure, other than the Young Members’ delegate to the Executive Council and Regional Committees as specified elsewhere in these rules.

6.3 The definition of the term “accountable representative of workers” shall be in the exclusive power of the Executive Council, which is empowered to take into account changing industrial realities and the unique nature of some industries (e.g. construction, contracting, leisure, rural etc) in formulating such a definition. It must nevertheless include branch executive officers who are in employment when employed by an organisation that is not Unite the union, shop stewards, health & safety, equalities and learning representatives elected at their place of work.

6.4 It is further required that a fair procedure be developed by the Executive Council to deal sympathetically with cases where a member’s eligibility to stand for election or continue to hold office may be affected by employer victimisation.

6.5 The electoral period to hold lay office shall be three years unless otherwise provided for under these rules.

6.6 The Executive Council shall issue guidance to establish the right of recall over members elected to lay office.

6.7 All Unite fulltime officers assigned to the Union’s constitutional committees are responsible for maintaining an up to date record of constitutional committee members’ credentials in order to ensure compliance with Rule 6. This record is to be reported to all constitutional committee meetings and included in the Minutes.

6.8 To be eligible to stand for election as an accountable representative of workers members must be committed to the Objects of the Union as defined in Rule 2 including strengthening the workplace organisation and resources of the Union.

Members who are engaged in the recruitment of members to a union that is not Unite the Union are excluded from standing as accountable representatives of workers within Unite the Union.

In the case of any such restriction imposed on a member, an appeal may be made to the Regional Committee in line with the provisions of Rule 27.
6.9 Members seeking election as accountable representatives of workers must have made at least thirteen weeks financial contributions and not be more than thirteen weeks in arrears.

This clause may not be applied in the case of newly organised workplaces.

RULE 7. INDUSTRIAL/OCCUPATIONAL/PROFESSIONAL SECTORS

7.1 Members in employment shall be allocated to the Industrial Sector in which they are employed. The term ‘Industrial Sector’ is a generic term including occupational and professional sectors.

7.2 There shall be organisation and representation of union members at both regional and national level by reference to their Industrial Sector.

7.3 These Industrial Sectors shall be determined by the Executive Council, which shall and after full consultation and approval of the sectors can amalgamate existing sectors as seems expedient on the basis of industrial logic and developing patterns of membership organisation (see appendix for full current list).

7.3.1 Prior to the transfer of a workplace, company or occupation from an existing sector to an alternative sector, the Executive Council shall consult the National Industrial Sector Committee.

7.4 Each Industrial Sector shall be led by a National Industrial Sector Committee, to be elected from the appropriate Regional Industrial Sector Committees in such proportion, as may be determined by the Executive Council. The Executive Council shall have the power to determine additional special constituencies (which may be on a national basis) where they deem it necessary in light of the particular industrial circumstances of the sector. Regional Industrial Sector Committees shall be elected at triennial meetings of Regional Industrial Sector Conferences. Every elected lay representative (Rule 6 compliant) listed in Rules 17 and 18 is entitled to attend the triennial conference.

7.5 National Industrial Sector Committees shall enjoy full autonomy in the conduct of their own proper industrial business, which must include comprehensive minutes of constitutional meetings. The conduct must not be inconsistent with the general policy and objectives of the Union.

7.6 Each Industrial Sector shall hold a national conference, with delegates elected from amongst the membership in that sector, once every two years to determine its own industrial policies provided that they are not inconsistent with the general policy and objectives of the Union. That the Executive Council shall determine the size and composition of each National Industrial Sector Conference and shall ensure that in each case it is larger than the number of seats on the corresponding National Industrial Sector Committee.

7.7 Each Industrial Sector shall, where practicable, be divided into Regional Industrial Sectors, in conformity with the territorial Regions of the Union. There shall be a Regional Industrial Sector Committee in each Region where a Regional Industrial Sector is established elected in such proportion, as may be determined by the Executive Council, to reflect Branch and workplace organisation including geographical and industrial sub-sector distribution of the sector membership.
Each National and Regional Industrial Sector Committee shall be empowered to fill vacancies arising from the failure of any Committee member, without good reason, to attend 3 consecutive Committee meetings or following the resignation of any member.

7.8.1 Any member who is replaced or removed will be prohibited from seeking re-election within the electoral period.

Members employed in managerial, professional, supervisory, technical and/or clerical grades may, where appropriate, be organised and represented separately from other members in the same sector.

Special sub-sectors and/or advisory committees of Industrial Sectors

7.10.1 The Executive Council shall constitute special sub-sectors and/or advisory committees of Industrial Sectors as may seem expedient, on the basis of company or occupation, and shall have discretion to maintain the autonomy of specific craft or professional groupings which may not have the status of industrial committees.

7.10.2 The Executive Council may approve byelaws to govern the activities of a group or association or other body or organisation of members. In the event of a conflict between these rules and the byelaws of a group or association or other body or organisation of members, these rules shall prevail. Any amendment to the byelaws of a group or association or other body or organisation of members shall require the consent of the Executive Council.

7.10.3 Any group or association or other body or organisation of members existing immediately before these rules came into force which had its own constitution, byelaws, regulations or rules shall treat that constitution, those byelaws, regulations or rules as being byelaws for the purposes of these rules. Accordingly, in the event of a conflict between these rules and the constitution, byelaws, regulations or rules of a group or association or other body or organisation of members, these rules shall prevail. Further, any amendment to such constitution, byelaws, regulations or rules shall require the consent of the Executive Council which may also amend such constitution, byelaws, regulations or rules after consultation with the relevant group or association or other body or organisation of members.

RULE 8. REGIONS

8.1 For the purpose of regional administration the Union shall constitute Regions for Ireland, Scotland and Wales with England divided into 7 Regions on the basis of Regional Development Agency boundaries (as at 1st January 2006) as follows:

North West;
North East, Yorkshire and Humberside;
West Midlands;
East Midlands;
South West;
South East; and
London and Eastern

8.2 Each Region shall have a Regional Committee of lay members elected from the Regional Industrial Sector Committees, Area Activists Committees where established, Regional Equalities Committees and as otherwise provided for by these rules in such proportions, as may be determined by the Executive Council and one member elected from the Regional Retired Members Co-ordinating Committee. Should any seat become vacant on the Regional Committee, then the Regional Secretary shall write to the nominating committee seeking a replacement delegate.

8.3 The Regional Committees shall have responsibility for the management of the Union’s affairs in their Regions in conformity with decisions of the Executive Council and responsible to it.

8.4 The Regional Committees shall have their own funds not exceeding 1% of the membership income attributable to members within that Region. Regional Committees shall be responsible for the affiliation of union organisations to Trades Councils and appropriate public bodies within their Region.

8.5 Regions shall constitute Area Activists meetings between activists in different companies, sectors and Branches, across geographical areas within a Region, subject to the approval of the Executive Council. The first of these meetings in an electoral period shall elect Area Activists Committees, the size and composition of which being determined by the respective Regional Committee, subject to the approval of the Executive Council. Thereafter Regions may convene further Area Activists meetings, up to four times a year. All activists shall be eligible to stand for election to Area Activists Committees.

8.6 The Regional Committee shall meet once a quarter or more frequently if, in the opinion of the Regional Secretary, the business renders it necessary. The Regional Secretary is responsible for convening all meetings.

8.7 A special Regional Committee meeting can be called by 50% +1 of the members of the Regional Committee, either by a show of hands at a Regional Committee meeting or by written requisition.

8.8 The Regional Committee shall have power to appoint one or more sub-committees from among its members and, except where otherwise determined by the Executive Council, shall have the power to delegate to any such sub-committee all or any of its powers including therein the conduct of hearings, appeals, inquiries, investigations or any other proceedings or functions whatever which it is authorised by these rules to undertake.

8.9 Each Regional Committee shall be empowered to fill vacancies arising from the failure of any Regional Committee member, without good reason, to attend three consecutive Regional Committee meetings or following the resignation of any member.

RULE 9. YOUNG MEMBERS

9.1 In each Region there shall be a young members’ forum open to all members up to and including the age of 30 in that Region commencing from the 2021/2024 election cycle. (The qualifying age remains at 27 until the 2021/2024 electoral cycle)
The purpose of the young members structure shall be to advocate the industrial and social interests of young workers, to cement links with the Union’s industrial and community members youth organizations both within the labour movement and beyond and to promote relevant policies provided that they are not inconsistent with the general policy and objectives of the Union.

There shall be conferences in each Region every three years for young members. The Regional Secretary shall be responsible for convening these conferences. The Executive Council shall determine the number of delegates to the regional conferences and how they shall be appointed or elected.

There shall be a Regional Young Members Committee charged with developing, organising and delivering the young members’ strategy in the Region, elected at the Regional Young Members’ Conference in such proportion, as may be determined from time to time by the Executive Council. The Regional Young Members Committee shall elect a delegate to the Regional Committee.

There shall be a national conference every two years for young members which the Executive Council shall be responsible for convening. The Executive Council shall determine the number of delegates to the national conference and how they shall be appointed or elected.

Young member activists are entitled to attend Area Activists Meetings and to stand for election to at least 2 defined young members’ seats on the Area Activists’ Committee, elected by young members attending the Meeting.

Young members who are also Unite branch CLP GC delegates and up to four nominees (who must be members of the Labour Party) from the Regional Young Members’ Committee, elected by members of that Committee, are entitled to attend the Regional Labour Party Liaison Conference and are eligible to stand for election to two additional young Members’ seats on the Regional Labour Party Liaison Committee.

There shall be a Young Members National Committee elected from each Young Members Regional Committee in such proportion, as may be determined from time to time by the Executive Council.

There shall be a Young Members designated seat on every Regional Industrial Sector Committee and National Industrial Sector Committee.

**RULE 10. MEMBERS IN RETIREMENT**

Members in retirement may be organised as “retired members plus” or as ordinary retired members. Only “retired members plus” members or members in retirement who choose to pay full contributions may hold office in any retired members’ structures that the Union may establish which shall include attendance at Regional Retired Members’ Conference. Separate arrangements may apply in the Republic of Ireland, Channel Islands, Isle of Man and Gibraltar.

Unite retired members, working through retired members’ structures, shall campaign for and promote the interests of senior citizens and the communities in which they live by working with the wider structures of the Union as well as other bodies involved with the
retired, cementing links with the Union and ensuring support both within Unite and beyond.

10.3 The retired members’ structures shall harness the support of retired members in promoting the Union’s political and campaigning objectives.

10.4 Retired members may be members of the workplace, local or national branches of the union (as defined in Rule 17) or may be organised in retired members’ branches. Members in retirement may not simultaneously be members of more than one branch of the union.

10.5 In each Region there shall be a triennial conference of retired members representatives which shall be defined as officers of Retired Members’ branches and retired members who may hold office in other types of branch. The Regional Secretary shall be responsible for convening the conference.

10.6 There shall be Regional Co-ordinating Committees for retired members, elected from the regional conference, in such proportion as may be determined by the Executive Council. These Committees shall elect a delegate to their respective Regional Committee of the union as a whole.

10.7 Retired member activists are entitled to attend the triennial Area Activists Meetings and general Area Activists Meetings, and to stand for election for up to 2 defined retired members’ seats on Area Activists Committees, elected by retired members attending the meeting.

10.8 Retired members who are also Unite branch CLP GC delegates plus up to four nominees (who must be a members of the Labour Party) from the Regional Retired Members’ Co-ordinating Committee, elected by the members of that Committee, are entitled to attend the Regional Labour Party Liaison Conference and are eligible to stand for election to at least two additional retired members seats on Regional Labour Party Liaison Committees.

10.9 There shall be a national conference of retired members’ representatives held every two years. The Executive Council shall be responsible for convening this conference which shall be made up of delegates elected by the regional retired members’ structures.

10.10 There shall be a National Committee for retired members, elected from the Regional Co-ordinating Committees in such proportion as may be determined by the Executive Council. This Committee shall elect a lay Chair and Co-ordinator to organise the work of the Committee in co-operation with the responsible National Officer, a delegate to the National Labour Party Co-ordinating Committee who must be a member of the Labour Party as well as delegates to national campaigning and labour movement organisations.

10.11 No member who is in receipt of a pension from any funds of the union shall be eligible for election to the delegate positions as set out in Clauses 10.6 and 10.10 of this Rule.
RULE 11. EQUALITIES

11.1 The Union shall strive to have elected equalities representatives recognized and active in all workplaces and who participate in the work of the Union’s industrial structure.

11.2 All constitutional conferences and committees of the Union shall have a gender and ethnic balance of elected representatives at least reflecting the proportion of the Black and Asian ethnic minority and women membership which they represent. The Executive Council shall ensure the implementation of this rule and shall report on its implementation to the Policy Conference of the Union.

11.3 There shall be separate conferences in each Region every three years for women members; Black and Asian ethnic minority members; disabled members; and lesbian, gay, bisexual and trans + members. The Regional Secretary shall be responsible for convening these conferences.

11.4 There shall be Regional Committees for women members; Black and Asian ethnic minority members; disabled members; and lesbian, gay, bisexual and trans + members, elected in Sector based constituencies of at least one member per regional Sector at the appropriate regional conference in 11.3, to advance the area of equalities, and to represent and report to the Regional Industrial Sector Committees in such proportion, as may be determined by the Executive Council. Members elected to such Sector based seats shall become full members of the Regional Industrial Sector Committee, provided that they are an accountable representative of workers. These committees shall each elect a delegate to their respective Regional Committee of the Union as a whole.

11.5 Each National and Regional Equalities Committee shall be empowered to fill vacancies arising from the failure of any appropriate regional Equalities Committee member, without good reason, to attend 3 consecutive Regional Equalities Committee meetings or following the resignation of any member.

11.6 There shall be separate national conferences every two years for women members; Black and Asian ethnic minority members; disabled members; and lesbian, gay, bisexual and trans + members. The Executive Council shall be responsible for convening these conferences which shall be made up of delegates elected at each of the appropriate Regional Equalities Committees.

11.7 There shall be National Committees for women members; Black and Asian ethnic minority members; disabled members; and lesbian, gay, bisexual and trans + members, elected from their respective Regional Equalities Committees in such proportion, as may be determined by the Executive Council.

RULE 12. POLICY CONFERENCE

12.1 The supreme policy making body of the Union shall be a Policy Conference held every two years consisting so far as is reasonably practicable of one lay delegate for each one thousand three hundred working members of the Union. Only elected lay delegates
shall have the right to vote. No member shall be a lay delegate if they are currently employed by the Union.

12.2 Motions to the Policy Conference shall be confined to the general policies of the Union and shall not deal with matters relating to the interpretation or amendment of rule or which are concerned solely with the Union’s policy within an Industrial Sector. Following the conference any motion which commits the Union to expenditure of funds must be put to the Executive Council for consideration and ratification before implementation.

12.3 The General Secretary will present a financial report to the Policy Conference.

12.4 The Executive Council shall determine the procedure for nomination, qualification and election of delegates and the constituencies from which they shall be elected.

12.4.1 In addition there shall be:

12.4.1.1 Five delegates one each representing the National Women’s, National Black and Asian ethnic minorities, National LGBT+, National Disabled Members’ and National Young Members’ Committees, and

12.4.1.2 In addition each Regional Committee shall elect the following delegates to Policy Conference directly:

a) Three delegates from the Regional Committee (at least one of which must be a woman)

b) two Lesbian, Gay, Bisexual or Trans + members

c) Two disabled members,

d) Two young members.

e) One Retired Member

12.5 The Executive Council shall be in attendance at any Policy Conference, and its members may speak upon but may not vote on any subject.

12.6 Each delegate shall hold office until the next Policy Conference.

12.7 The Executive Council shall determine the number of policy motions which may be submitted by the Branch; regional; industrial and equalities structures, and from the Executive Council itself.

12.7.1 The National Retired Members Committee and Regional Retired Members Co-ordinating Committees may submit one motion each to the Policy Conference on matters solely pertaining to members in retirement.

12.8 The Policy Conference shall be chaired by the Chair of the Executive Council.

12.9 The Policy Conference may be recalled by the Executive Council in an emergency. It shall deal only with the business for which it is summoned.

12.10 The Executive Council shall draft the standing orders for the Policy Conference. A Standing Orders Committee shall be constituted on the basis of at least one delegate
from each Region, under a procedure to be agreed by the Executive Council. No member of the Executive Council shall be eligible to serve on the Standing Orders Committee. The Chair of conference may attend the meetings of the Committee and may issue directions prior to the Conference, subject to those directions being upheld by the Conference itself.

12.10.1 A member of the Standing Orders Committee may not simultaneously be a delegate to the Conference, but for the purpose of electing the next Policy Conference Standing Orders committee members will be treated as being a delegate to Conference and may be nominated and elected to serve on the next Standing Orders Committee.

12.11 No member of the Executive Council, employee of the Union or retired employee of the Union, or any member who is in receipt of a pension from any of the funds of the Union, no tutor employed by the Education Department or registered for delivery of Unite education, , and no member who is employed on Union business on a full-time or part-time basis with remuneration paid from funds which are raised from special contributions paid by Branch members as distinct from Union contributions, shall be eligible for nomination as a delegate to the Policy Conference.

RULE 13. RULES AMENDMENT

13.1 For the revision of the rules and constitution of the Union there shall be a Rules Conference which shall meet in every fourth year. No member shall be a lay delegate if they are currently employed by the Union.

13.2 The Rules Conference shall consist, in so as is reasonably practicable, of one lay delegate for each four thousand working members of the union.

13.2.1 In addition there shall be:

13.2.1.1 Five delegates one each representing the National Women’s, National Black and Asian Ethnic Minority, National Lesbian, Gay, Bisexual and Trans +, National Disabled Members’ and National Young Members Committees; and

13.2.1.2 Each Regional Committee shall elect 2 delegates to Rules Conference (at least one of which must be a woman).

13.7.1 Each Region may nominate one Retired Member as a delegate to the Rules Conference.

13.3 Amendments to rule may be approved by a simple majority of those voting. Only elected lay delegates shall have the right to vote.

13.4 Following the conference any amendment to rule which commits the Union to expenditure of funds must be put to the Executive Council for consideration and ratification before implementation.

13.5 The Executive Council shall be entitled to submit amendments to the rules to a Rules Conference and if an urgent issue arises it may do so at short notice.
13.6 If in the opinion of the Executive Council there is an urgent need to amend the rules between Rules Conferences, the Executive Council may amend the rules by a resolution supported by not less than 75% of its members, provided that amendment shall cease to have effect at the end of the next Rules Conference unless it has been ratified by a resolution of that conference.

13.7 The Executive Council shall determine the procedure for nomination, qualification and election of delegates and the constituencies from which they shall be elected.

13.8 The Executive Council shall be in attendance at any Rules Conference, and its members may speak upon but may not vote on any subject.

13.9 Each delegate shall hold office until the next Rules Conference.

13.10 The Executive Council shall determine the number of rules motions which may be submitted by from the Branch; regional; industrial and equalities structures, and from the Executive Council itself.

13.10.1 The National Retired Members Committee and Regional Retired Members Co-ordinating Committees may submit one amendment each to the Rules Conference solely pertaining to members in retirement.

13.11 The Rules Conference shall be chaired by the Chair of the Executive Council.

13.12 The Rules Conference may be recalled by the Executive Council in an emergency. It shall deal only with the business for which it is summoned.

13.13 The Executive Council shall draft the standing orders for the Rules Conference. A Standing Orders Committee shall be constituted on the basis of at least one delegate from each Region under a procedure to be agreed by the Executive Council. No member of the Executive Council shall be eligible to serve on the Standing Orders Committee. The Chair of conference may attend the meetings of the Committee and may issue directions prior to the Conference, subject to those directions being upheld by the Conference itself.

13.13.1 A member of the Standing Orders Committee may not simultaneously be a delegate to the Conference, but for the purpose of electing the next Rules Conference Standing Orders Committee members will be treated as being a delegate to the Conference and may be nominated and elected to serve on the next Standing Orders Committee.

13.14 No member of the Executive Council, employee of the Union or retired employee of the Union, or any member who is in receipt of a pension from any of the funds of the Union, no tutor registered for delivery of Unite education by the Education Department, and no member who is employed on Union business on a full-time or part-time basis with remuneration paid from funds which are raised from special contributions paid by Branch members as distinct from Union contributions, shall be eligible for nomination as a delegate to the Rules Conference.
RULE 14. EXECUTIVE COUNCIL

14.1 Election of the Executive Council

14.1.1 The election of the Executive Council shall be on the basis of representatives from the Union’s regional structure, representatives from the Union’s Industrial Sectors and National Representatives of the Union’s women and Black and Asian ethnic minority, disabled, LGBT+, Young membership and Retired membership.

14.1.2 Elections to the Executive Council shall be conducted in conformity with guidance issued by the Executive Council. Such guidance will ensure equality and fairness throughout the election and balloting process. All nominees gaining the required number of nominations will be provided with the same appropriate access to branch secretaries, workplaces and constitutional committees information and promotion throughout the union.

14.2 Election to the Executive Council shall be on the basis of electoral constituencies determined by the Executive Council. A full audit of each Industrial Sector’s membership will take place at the September Executive Council meeting in the year prior to the election of the Executive Council to determine Executive Election Constituencies. These constituencies shall ensure the fair and equitable representation of the working membership and shall include representatives to be elected from the Regions as constituted in the union on the basis of each region with fewer than 150,000 members on the date at which the membership figures are struck having two representatives, each region with 150,000 members but fewer than 200,000 members having three, and each region with 200,000 members or more having four; and shall include representatives from the national industrial sectors, on the basis of each sector with fewer than 50,000 members at the date at which the membership figures is struck having one representative, each sector with 50,000 but fewer than 100,000 members having two and each sector with 100,000 members or more having three. The allocation of constituencies based on this formula will be automatically applied to all Executive Council elections henceforward unless the formula is varied by the Rules Conference.

14.2.1 The Executive Council of the Union shall include designated seats within the electoral constituencies to ensure the proportionate representation of women members and of Black and Asian ethnic minority members.

14.2.2 The term of office for the Executive Council shall be three years.

14.2.3 No current or former employee of the Union, nor any current employee of any other union, is eligible to stand for, or hold office on, the Executive Council.

14.3 The Executive Council shall meet at least four times a year, with up to a maximum of five days duration per meeting to complete the business at hand. Special meetings of the Executive Council may be called when the General Secretary or when a majority of the Executive Council considers it necessary.

14.4 Members of the Executive Council who do not attend two consecutive meetings to which they are summoned, may be removed from office by the Executive Council if they fail to provide a written explanation of that absence to the General Secretary or if any written explanation provided is in the opinion of the Executive Council
unsatisfactory. The Executive Council shall determine the guidance on the application of this rule.

14.5 An elected Executive Council member cannot act as a Stand Down Officer during their elected period of office as an Executive Council Member.

14.6 The Executive Council shall elect a Finance and General Purposes Committee provided that it shall include the Chair of the Executive Council. The Executive Council shall determine the number of members thereof. This committee shall meet not less than six times a year. This Committee shall also act as an emergency committee, and the Executive Council shall delegate to such Committee all or any of its powers and duties as it may determine, and may modify or revoke such powers.

14.7 At the first meeting of the Executive Council following its election there shall be appointed by and from the members a Chair. The Executive Committee will have the power to remove and replace its Chair at any time, and to appoint one or more Vice Chairs for such business and period as it deems fit from time to time.

14.8 The Chair shall preside over all Executive Council meetings, see that the business is properly conducted, and sign the minutes of each meeting when passed. The Chair shall also preside at the biennial Policy Conference, the Rules Conference, the Finance and General Purposes Committee and in addition shall be a delegate to the Trades Union Congress and Annual Conference of the Labour Party (where appropriate) held during their term of office, and to such other conferences as the Executive Council may determine.

14.9 The Government, management and control of the Union shall be vested in the Executive Council collectively, which may do such things consistent with the rules and objects of the Union as it may consider expedient to promote the interests of the Union or any of its members. In particular and without limiting the general powers conferred on it by these rules the Executive Council shall have the power to:

14.9.1 Ensure that properly prepared management accounts and annual statements of account relating to all financial affairs of the Union are presented at its regular meetings, and it may call for the production of any book, vouchers, or documents.

14.9.2 Direct that special audits or examinations of the books or finances of any part of the Union shall be made by special auditors appointed by the Executive Council.

14.9.3 Appoint and remove the Union's auditor and assurer in relation to membership records for which purpose the members of the Executive Council shall act as the delegates of the members by whom they were elected.

14.9.4 Appoint all officers who are employed as such by the Union (who shall have been paying members of this Union or, if employed by Unite, paying members of another trade union recognised by the union for bargaining purposes, for at least two years immediately preceding the date of application) other than the General Secretary. The promotion of individual officers (up to but excluding the level of General Secretary) and the allocation/reallocation of officer roles shall be subject to the approval of the Executive Council in each case.
14.9.5 Determine one or more constitutional committees of lay members to which each officer employed by the Union shall report and be accountable and ensure that the list of these allocations is available to members.

14.9.6 Consider all appeals and resolutions addressed to it, subject to where it deems appropriate the Council shall have the power to refer such appeals and references to Regional or National Industrial Committees.

14.9.7 Require reports to be submitted to it of all disputes, and shall take such action with regard thereto as it shall deem fit.

14.9.8 Raise or borrow money and secure the payment of money or the carrying out of any other obligation of the Union on any of the properties or securities of the Union in such manner as it shall think fit.

14.9.9 Decide questions of policy which may arise between Policy Conferences and which have not been decided by a previous decision of such a conference. Any substantive policy decisions made by the Executive Council to be ratified by the next scheduled Policy Conference.

14.9.10 Send delegates or deputations to represent the Union, and to delegate power to any person to act on behalf of the Union for any purpose.

14.9.11 Sanction payment of benefit in respect of any strike and in respect of any lockout.

14.9.12 Expend moneys on any of the purposes authorised by these rules, or on any other purpose which, in their opinion, is expedient in the interests of the Union or its members, including, at its discretion, the provision of legal services to members (and where it additionally and severally sees fit, to members’ families), and the taking and defending of legal action by the Union.

14.9.13 Suspend, or impose any other penalty on any Branch, Region or other administrative section of the Union for such reasons and on such terms as they deem expedient and their decisions, save as herein provided, shall be final and conclusive for all purposes provided that every Branch, Region or other administrative section shall have the right within 14 days, of the date of notification of the decision of the Executive Council to give notice of appeal, and until the hearing of such appeal the decision of the Council shall be binding.

14.9.14 Delegate to any committee constituted under these rules such of their powers as are necessary or expedient and consistent with the powers and duties of such committee as in these rules provided, and may modify or revoke such powers and duties from time to time.

14.9.15 Provide training for lay representatives, activists and its employees.

14.9.16 Decide its own Standing Orders and procedures in all matters not expressly provided for in these rules.

14.9.17 Make standing orders, consistent with these rules, governing the proceedings of Regional Committees, Industrial Committees and any other body provided for by these rules, as it sees fit.
14.9.18 Decide any question relating to the meaning and the interpretation of these rules or any matter not expressly provided for by these rules which decision shall be binding on all members of the Union.

14.10 Recognising the central importance of the organisation of workers into the Union the Executive Council shall devote no less than five per cent of membership income to organising each year and shall aim to move to no less than ten per cent within no less than three years of amalgamation. Organising units shall be maintained by these funds in each Region and shall be controlled by a national organising department under the control of the General Secretary.

14.11 In addition to any express powers in these rules provided, the Executive Council shall have power generally to carry on the business of the Union, as it may deem necessary, and do such things and authorise such acts, including the payment of moneys, on behalf of the Union, as it, in the general interests of the Union, may deem expedient, and to delegate to any person or persons the power to represent and to act on behalf of the Union. Between Executive Council meetings the Executive Council’s powers under clause 9 above and this clause are delegated to the General Secretary save the following:

14.11.1 regarding appeals and resolutions
14.11.2 regarding delegation of powers from the Executive to any committee
14.11.3 regarding Executive Council procedures

14.12 The Executive Council may exercise any power given to it by these rules as it sees fit from time to time.

RULE 15. GENERAL SECRETARY

15.1 All elections for the General Secretary shall be on the basis of a ballot of the whole membership of the Union other than ‘ordinary’ retired members who shall not be eligible to vote. The fixed term of office for each General Secretary election will be set at 5 years. If the General Secretary position becomes vacant due to retirement, resignation or death within a fixed term of office a General Secretary election will be called.

15.2 The General Secretary shall not hold office without re-election for more than 5 years from the last day on which the votes were cast in his/her previous election.

15.3 The General Secretary shall be responsible for the administration of the affairs of the Union; including convening the meetings and implementing the decisions of the Executive Council, and such other duties as may be determined by the Executive Council.

15.4 All employees of the Union shall be under ultimate control of the Executive Council whose approval shall be required before changing their terms and conditions of employment or superannuation arrangements. Subject to that ultimate control the General Secretary shall be responsible for managing all employees of the Union who,
subject to their terms and conditions of employment, shall perform such duties and work from such locations as the General Secretary may direct.

15.5 The General Secretary shall be under the control of and act in accordance with the directions of the Executive Council.

15.6 The General Secretary may delegate to any employee of the Union such of the General Secretary’s powers as the Executive Council may consider appropriate.

15.7 The General Secretary shall be entitled to attend all meetings of the Union and to take part in their deliberations but shall not have a vote.

**RULE 16. ELECTION OF EXECUTIVE COUNCIL MEMBERS AND THE GENERAL SECRETARY**

16.1 Subject to the provisions of these rules and the powers of the independent scrutineer, the election of members of the Executive Council and the General Secretary shall be organised and conducted in accordance with the directions of the Executive Council.

16.2 The Executive Council shall appoint an independent scrutineer to supervise the production, storage and distribution of voting papers, to receive and count the voting papers, to report on the election, to retain the voting papers for an appropriate period and to perform such other duties as the Executive Council may specify.

16.3 The Executive Council shall appoint a suitable, independent person to act as Election Commissioner to adjudicate on any complaints made under clause (24) of this rule relating to the conduct of the election. In the event that the Electoral Commissioner finds it necessary to carry out an investigation in the conduct of his or her duties under rule all members and employees of the Union are required to afford him or her every assistance in this regard.

16.4 The Executive Council shall appoint an independent Returning Officer to deal with the conduct of the election between the Executive Council meetings and may delegate to him/her its powers relating to the conduct of that election provided that the Returning Officer shall not act inconsistently with any decision of the Executive Council and shall report directly to the Executive Council all actions taken and decisions made in respect of that election.

16.5 A candidate in an election shall play no part in any deliberations of or decision by the Executive Council which relates specifically to the conduct of an election in the constituency in which an individual is a candidate.

16.6 Only for the purpose of the Executive Council Member elections and the General Secretary election any workplace with more than 50 members that is not constituted as a workplace branch will be classified as a workplace branch for the purpose of nominating candidates for the Executive Council election and General Secretary election.

16.7 Executive Council candidates for election to represent a Region shall be nominated by at least three Branches within that Region or that part of a Region as the case may be. A branch shall be entitled to make only such number of nominations as there are members to be elected from that Region or part thereof.
16.8 Executive Council candidates for election to represent a particular Industrial Sector constituency shall be nominated by branches which have members in the particular Industrial Sector constituency concerned.

16.9 In every case no nomination shall be valid unless a meeting of the Branch has been convened and that nomination has been endorsed by the meeting. The Executive Council guidance shall state whether and how a nomination may be made by a Branch (in relation to Industrial Sector seats).

16.10 A member is required to receive at least three nominations. On receipt of such nominations the candidate shall be invited to confirm in writing that she/he accepts the nomination. Each member who does so and who is otherwise eligible shall be a candidate in the election for that constituency.

16.11 Executive Council candidates for election to represent a constituency under any equalities provision of these rules shall be nominated by Branches.

16.12 Executive Council candidates must be a member of the electoral constituency they wish to represent.

16.13 Nominations of Candidates for election of General Secretary may be made by each branch. A candidate must be eligible to vote in the election; have at least 5 years continuous membership of the union; and have received nominations from at least 5% of the total number of branches subject to the total including nominations from more than two regions. In every case no nomination will be valid unless a meeting of the branch has been convened and that nomination has been endorsed by the meeting. The Executive Council guidance will state whether and how a nomination may be made by a branch.

16.14 Prospective nominees for the Executive Council and General Secretary elections will be covered and bound by the election directions of the Executive Council, from when the prospective nominee 150 word statement is released and circulated by the union with the letter inviting nominations.

16.15 All candidates in Executive Council and General Secretary elections receiving the required nominations will be provided an election webpage on Unite’s website for campaign material which will be visible and open to all members to view and download campaign material. The election webpages will be governed by the directions provided by the Executive Council.

16.16 If the number of candidates does not exceed the number of vacancies to be filled by that constituency, the candidate(s) shall be declared elected. If there are more candidates than vacancies, the election shall be conducted by a secret postal ballot.

16.17 Executive Council constituencies to be based on paying membership allocations as reported at the September Executive Council in the year prior to the Executive Elections.

16.18 The election shall not be concluded until the Executive Council has received the independent scrutineer’s report and declared which candidate(s) has been elected. This should take place as soon as reasonably practicable after the votes have been counted. If the Election Commissioner advises the Executive Council that it should not declare the outcome of an election until he/she has adjudicated on a complaint, it shall comply with that request.
The Executive Council may decide that members who have joined the Union after a prescribed date shall not be eligible to vote, provided the date shall not be more than 13 weeks before the first day on which voting is due to take place in that election.

Members of a territorial Region shall be accorded equally an entitlement to vote in the election for a representative from their own territorial Region (but no other territorial Region) to the Executive Council. A member’s Region for the purposes of this rule shall be the Region to which their Branch is allocated by the Executive Council. In the case of Branches covering more than one Region the method of establishing a member’s individual Region shall be determined by the Executive Council.

Members of a particular Industrial Sector shall be accorded equally an entitlement to vote in the election for a representative from their own Industrial Sector Constituency (but no other Industrial Sector Constituency) to the Executive Council.

In relation to the election of the National Representative(s) for Women Members; members who are women shall be accorded equally an entitlement to stand and vote.

In relation to the election of the National Representative(s) for Black and Asian ethnic minority Members; members who are Black and Asian ethnic minority members shall be accorded equally an entitlement to stand and vote.

In relation to the election of the National Retired Member Representative only members who contribute at the rate of Retired Member Plus shall be accorded equally an entitlement to stand and vote.

In relation to the election of the National LGBT+ and Disabled Member Representatives, from the electoral period 2022/2025, only members who are LGBT+ or Disabled shall be accorded equally an entitlement to stand and vote.

In relation to clauses 18 and 19 above, the Union shall rely on its membership records and shall endeavour to collect and record such relevant information, but shall be entitled to make a presumption of non-entitlement to vote in such election where there is an absence of the relevant qualification information.

A member who is eligible to vote in an election who does not receive a voting paper should contact the Union but the final decision on whether to issue a further voting paper to that member shall be a matter for the independent scrutineer.

Each candidate shall be entitled to attend as an observer the counting of the votes from the constituency in which he/she is a candidate.

If at any stage during an election or within 28 days of the declaration of the outcome a candidate in an election or the Executive Council considers that there has been a breach of these rules or of any other legal requirement relating to the conduct of the election or any other interference with the conduct of the election and that the breach or interference may materially affect or may have materially affected the outcome of the election, he/she or the Executive Council may submit a complaint to the Election Commissioner.

If the Returning Officer or a member who is not a candidate considers that there are grounds for a complaint to the Election Commissioner, he/she should refer the matter directly to the Executive Council.
16.31 A complaint to the Election Commissioner should be made as soon as is reasonably practicable. The Election Commissioner shall not consider any complaint made more than 28 days after the date on which the Executive Council declared the outcome of the election.

16.32 A complaint to the Election Commissioner shall be made in writing addressed to the Election Commissioner care of the Returning Officer and accompanied by all the supporting evidence which the complainant wishes to be taken into account.

16.33 If when the Election Commissioner receives a complaint the independent scrutineer has not yet reported on the election and the Election Commissioner considers that the complaint raises matters which fall within the jurisdiction of the independent scrutineer, he/she shall refer the complaint (or the part thereof which raise such matters) to the independent scrutineer unless it is not reasonably practicable to do so. When the Election Commissioner has referred a complaint (or part thereof) to the independent scrutineer he/she shall not adjudicate on the complaint until the independent scrutineer has expressed a view on that complaint.

16.34 The Election Commissioner may adjudicate on a complaint on the basis of written material submitted with the complaint or, at his/her complete discretion, call for such further information as he/she think fit and/or conduct a hearing of the complaint. Subject to the provisions of this rule, the Election Commissioner shall decide his/her own procedures for investigating and adjudicating upon the complaint provided that he/she shall endeavour to adjudicate on the complaint as quickly as is reasonable practicable.

16.35 If after considering a complaint the Election Commissioner considers; that there has been a material breach of these rules or of any other legal requirement relating to the conduct of the election or any other material interference with the conduct of the election; and that the breach or interference may materially affect or has or may have materially affected the outcome of the election; the Election Commissioner may recommend that the Executive Council should take one or more of the following measures:-

16.35.1 Declare the ballot and, if it has been declared, the outcome of the election void and call for a fresh ballot to be held;

16.35.2 Disqualify a candidate or candidates and permit the remaining candidates to go forward in the ballot or in any fresh ballot that may be ordered; or

16.35.3 Such other remedial measures as the Election Commissioner considers appropriate.

16.36 Subject always to any decision to the contrary by a court, the Certification Officer or any other lawful authority, the Executive Council shall give effect to any recommendation by the Election Commissioner made in accordance with clause (29) of this rule.

16.37 If an election is delayed as a result of action taken pursuant to a recommendation by the Election Commissioner, an order of a court, the Certification Officer or other lawful authority, a member who holds the office which is the subject of that election shall be entitled to continue in that office until the election is concluded.

16.38 Any casual vacancies on the Executive Council that occur within the first two years of the electoral term shall be filled by a by-election for a replacement delegate. If that casual vacancy occurs in the final year of the electoral term, there shall not be a by-election but that an observer may be elected to represent the section's interests from
the relevant constituency, i.e. NISC, Regional Committee, National Equalities Committee.

RULE 17. BRANCHES

17.1 Wherever possible, Branches shall be based on the workplace, although provision shall also be made for local Branches, including local industrial sector, community and retired branches.

17.2 National Industrial Branches shall be approved by the Executive Council only as appropriate and where the local workplace branch model is not deemed suitable. Members of National Industrial Branches may fully participate in the structures of the Union, including the Regional Industrial Sector Conference and the Regional Political Conference (in both cases subject to meeting eligibility criteria), in the Region where they live if different from the Region where the branch is based.

17.2 Branch membership shall be allocated on the basis of the workplace if there is a workplace branch at the member’s workplace, or the local branch most appropriate for their workplace if there is not a workplace or National Industrial branch.

17.3 Branches shall have direct access to a proportion of membership subscriptions. Such a proportion and access arrangements to be determined by the Executive Council, and may be conditional on performed compliance with financial reporting requirements. These funds may be used to meet the cost of administering the Branch; for recruitment and other campaigns approved by the Executive Council; for local affiliations; to assist members or their dependants who have suffered misfortune; or for any other worthy cause, subject to any provisions elsewhere in these rules, and that no general purposes funds shall be used for political objects. Any payments made in connection with any form of industrial action must be made strictly in line with Executive Council guidance applicable at the time. Branch funds shall not be utilised to assist a member or former member in obtaining legal advice, assistance or representation in respect of any court, tribunal or other proceedings brought against (or intended to be brought against) the Union.

17.4 All the property of the Branch including the books and other effects of the Branch, shall be the property of the Union and shall, on request by the Executive Council, be produced for inspection and audit. In the event of a closure, merger or dissolution of the Branch, all property of the Branch shall be dealt with as directed by the Regional Committee subject to the overall control of the Executive Council. No branch will be closed and no member will be transferred to another branch without proper consultation with the branch, the member or members concerned.

17.5 The Regional Committee shall be required to ensure that each Branch meets at least quarterly and operates in accordance with the standing orders provided for in clause 8 of this rule. Where a Branch fails to meet quarterly of all members that Branch shall be suspended and members of the Branch shall be allocated to a Branch which meets the requirements of rule subject to the right of the Branch to appeal to the Executive Council. Branches shall, with the assistance of the Region, advise their members of the venue, time and date of branch meetings; this may be by post or by posting the information on the Union’s website. It shall be the responsibility of the branch secretary to ensure that up to date information about branch meetings is held by the Union.
17.6 Where deemed desirable for organisational and/or administrative reasons the Regional Committee shall be empowered to merge Branches; in the event of an appeal this will be heard by a sub-committee of the Executive Council.

17.7 Each Branch shall have for its management branch executive officers consisting of a Chair, a Vice-Chair (where a branch so decides), a Treasurer, an Equality Officer and a Secretary; and such other officers as the Branch may elect. They shall be elected at a Branch meeting by show of hands, or by ballot, if so decided by the meeting. The election shall take place at a branch meeting held between 1st January and 31st March in each third year and the elected candidates shall take office for three years. Casual vacancies may be filled at an ordinary Branch meeting, but notice of the impending election must be given to members of the Branch on the notice convening the meeting. The positions of Secretary and Treasurer may be held by the same member if the Branch so chooses.

17.8 The Executive Council shall issue standing orders to regulate the conduct of Branch meetings and business and may amend the standing orders from time to time. Those standing orders may only be varied in respect of a Branch with the prior approval of the Regional Committee. The quorum for a Branch meeting to make a decision on any matter shall be 5 members and all matters should be decided by a simple majority of those voting. If the votes are equal the proposition before the meeting shall fail.

17.9 The Branch chair shall preside over all meetings of the Branch and shall ensure that business is conducted in accordance with the rules and Branch standing orders. If the chair is absent from a Branch meeting, those present shall elect a substitute to take his/her place for that meeting. The chair shall be entitled to vote on all matters to be decided by the Branch but he/she shall not have a second or casting vote. The Branch secretary shall be responsible for the general administration of the Branch including maintaining the Branch membership, financial and other records in the manner required by the Executive Council, taking and preserving Branch minutes and conducting all correspondence on behalf of the Branch. On taking office the branch secretary shall provide an address where members can contact him or her. This information shall be posted on the Union’s website.

17.10 The Branch treasurer shall be responsible for dealing with financial transactions concerning the Branch, ensuring that all payments are made in accordance with the rules of the Union, receiving contributions from members who pay at the Branch and banking monies. He/she shall provide the Branch secretary with a record of all financial transactions and shall ensure that they are accurately recorded in the Branch records and that all monies are dealt with in accordance with the rules and the instructions of the Executive Council.

17.11 If a Branch is unable to fill a vacancy for secretary or treasurer, the Executive Council may appoint a full time officer to fulfil the duties of that office until such time as the Branch is able to fill the position.

17.12 All references to “Branch” throughout these rules refer to lay member organisations. All references to Branch secretaries refer to lay officials.

17.13 Branch officers shall receive the fullest support and protection from the union, and an immediate enquiry shall be undertaken by the appropriate regional industrial sector or regional committee into every case of victimisation of a branch officer with a view of preventing victimisation whether open or concealed. Following the enquiry by the
Regional Industrial Sector, or the Regional Committee, a full report will be sent to the EC with recommendations.

**RULE 18. WORKPLACE REPRESENTATION**

18.1 At each workplace, the members employed at that workplace, shall elect from amongst themselves, at least every 3 years, 1 or more of the following representatives:

- 18.1.1 Shop stewards/workplace representatives
- 18.1.2 Safety representatives
- 18.1.3 Learning representatives
- 18.1.4 Equality representatives
- 18.1.5 Environment representatives

The election shall take place at a meeting held between 1st January and 31st March in each third year, and the elected candidates shall take office for three years. Such workplace representatives as listed above shall be entitled to attend the triennial Regional Industrial Sector Conference.

18.2 The election of workplace representatives shall, where practicable, have a gender and ethnic balance at least reflecting the proportion of Black and Asian ethnic minority and women members which they represent. Election to one representative role shall not preclude election to another such role within the same workplace.

18.3 The method of election shall be by such means as authorised by relevant guidance which shall be issued by the Executive Council from time to time.

18.4 On being elected for the first time in a particular role, each representative should within twelve months attend an appropriate union training course. On re-election, representatives are expected to continue to attend appropriate training courses as provided by the union to ensure they can best represent members.

18.5 The Executive Council may group workplaces together for the purposes of representation where the number of members at each workplace is so small that representation and bargaining for the individual workplaces is not, in the opinion of the Executive Council, practicable.

18.6 The constituency of a workplace representative shall be the workplace from which they were elected, or such grouping of workplaces as was defined by the Executive Council under clause 5 of this rule.

18.7 Following election of a workplace representative the appropriate Regional Officer shall be informed of the election by the elected representative without delay. The Regional Officer shall ensure that the Regional Industrial Sector Committee and the Union’s membership department are informed of the date of the election and the identity, constituency and contact details of the elected workplace representative.

18.8 The Executive Council may issue guidance on the powers and procedures of the Regional Industrial Sector Committee in relation to ratification of such election. The Regional Industrial Sector Committee shall ensure compliance with such procedures.
18.9 Shop stewards/workplace representatives shall receive the fullest support and protection from the union, which includes the provision of a Shop Stewards Handbook/Health and Safety Handbook/Branch Officers Handbook. Officers should be able and willing to fully support shop stewards/workplace representatives facing victimisation/bullying/disciplinary action. Officers should consistently support all shop stewards/workplace representatives and where appropriate be able to provide support in legal referrals to the Union’s solicitors. Officers should ensure shop stewards/workplace representatives are updated within a reasonable time. An immediate inquiry shall be undertaken by the appropriate regional industrial sector or regional committee into every case of dismissal of a shop steward with a view to preventing victimisation, either open or concealed. If it is deemed necessary, a ballot for industrial action will be convened.

RULE 19. FUNDS

19.1 The General Secretary and employees of the Union authorised by him/her shall subject to the endorsement of the Executive Council have authority to open and operate such bank accounts on behalf of the Union as he/she may consider appropriate.

19.2 Subject to clause 3 of this rule, the funds of the Union may be used in accordance with the provisions of these rules for the payment of provident benefits as defined in Section 467(2) Income and Corporation Taxes Act 1988, as that section may be amended, consolidated or re-enacted from time to time. Such provident benefits shall include the provision of legal advice and assistance.

19.3 No member shall be entitled to sums in excess of the limits set out in section 467(1) of the Income and Corporation Taxes Act 1988 as that section may be amended, consolidated or re-enacted from time to time.

19.4 The Executive Council shall publish an annual financial report providing details of the Union’s income and expenditure in the previous year, this shall include an audited account of all Officers and Organisers remuneration including the pay, benefits and expenses – up to and including all Unite the Union Regional Secretaries, National Officers, Directors, Executives, Assistant General Secretaries, Deputy General Secretaries and the General Secretary, as well as details of Departmental and Regional spending, campaign costs and any other significant union expenditure.

19.5 All property (including all books, effects, funds or other assets) which immediately prior to the date of these rules coming in to effect was held by or in trust for or otherwise on behalf of the Amicus or TGWU Sections of the Union or the former trade unions Amicus or TGWU, or any committee, council, Branch, or other body of one of those sections or unions, shall be the property of the Union and shall be dealt with in accordance with the instructions of the Executive Council.

19.6 The Executive Council shall cause to be kept proper accounting records with respect to the Union’s transactions, assets and liabilities and establish and maintain a satisfactory system of control of its accounting records, its cash holdings and all receipts and payments in accordance with Sections 28 and 29 of the Trade Union and Labour Relations (Consolidation) Act 1992.

19.7 The Accounts of the Union shall be audited at least once a year. The auditor shall be qualified in accordance with Section 34 of the Trade Union and Labour Relations Act
(Consolidation) 1992. The appointment and removal of the auditor shall be subject to the provisions Section 35 of the Trade Union and Labour Relations (Consolidation) Act 1992.

19.8 No member or members, or Branch, or any committee of members shall be permitted to use the name of the Union, or any machinery of the Union, in connection with any appeal for funds or establishment of any subsidiary benefit connected with a Branch, unless the conditions stated hereunder are accepted and the requisite official sanction obtained:

19.8.1 That the accounts of such funds and all documents in connection therewith shall be open at all times to inspection by the Union accountants.

19.8.2 That in the case of a regional or local appeal the permission and sanction of the Regional Committee shall be first obtained, and thereafter is subject to the endorsement of the Executive Council.

19.8.3 That in the case of a national appeal the permission and sanction of the Executive Council shall be first obtained.

19.8.4 Where such funds are properly raised they are included in the consolidated accounts of the Union and be subject to the requirements in clauses 1 and 2 of this rule.

19.9 In accordance with Section 30 of the Trade Union and Labour Relations (Consolidation) Act, 1992, any member of the Union has the right to access to any accounting records of the Union which are available for inspection and which relate to periods including a time when that person was a member of the Union.

19.10 There shall be a national access fund for the provision of British Sign Language/English Interpreters, communication support, or other forms of support as required by Deaf of disabled members to that they may access branch meetings, national meetings or other Union events.

**RULE 20. ASSETS AND TRUSTEE PROVISION**

20.1 All property of the Union shall be held by a trustee company specified by the Executive Council (hereinafter called the Trustee Company) in trust for the Union in accordance with the provisions of these rules. For the avoidance of doubt, the property of the Union includes all funds and assets of the Union and its constituent bodies.

20.2 The Trustee Company shall invest, dispose of or otherwise deal with the funds and other assets of the Union in accordance with the instructions of the Executive Council. Subject to the provisions of these rules, the Executive Council shall have complete discretion how to instruct the Trustee Company to invest, dispose of or otherwise deal with the property of the Union.

20.3 All property (including all books, effects, funds or other assets) which immediately prior to the date of these rules coming in to effect was held by or in trust for or otherwise on behalf of the Amicus or TGWU Sections of the Union or the former trade unions Amicus or TGWU, or any committee, council, Branch, or other body of one of those sections or
unions, shall be the property of the Union and shall be dealt with in accordance with the instructions of the Executive Council.

20.4 Trustee

20.4.1 The Trustee Company is the trustee of the Union.

20.4.2 The Executive Council may appoint and remove a second trustee where necessary to facilitate disposal of Union property, and solely for such purpose.

20.5 Trustee Company

20.5.1 The Directors of the Trustee Company shall consist of

20.5.1.1 Directors appointed by and from the Executive Council.

20.5.1.2 The General Secretary.

20.5.2 A Director appointed by the Executive Council may be replaced by the Executive Council at any time.

20.5.3 Subject to the provisions of the Companies Act 2006, the Executive Council shall appoint and may at any time replace the company secretary of the Trustee Company.

20.5.4 Property which immediately prior to the coming into force of these rules was vested in other trustees (whether individual or corporate) in trust for the Union shall on the date of the coming into force of these rules vest in the Trustee Company.

RULE 21. EXPENSES

A lay member engaged on Union business shall be entitled to such reasonable expenses and in such circumstances as may be decided by the Executive Council provided that the method of calculating such expenses and any subsequent alteration thereto must be reported to and shall cease to have effect unless ratified by the next Policy Conference of the Union. All expenses should be paid at the same rate and in the same manner across all disciplines i.e. constitutional committees, branches, education etc.

RULE 22. POLITICAL ORGANISATION – THE LABOUR PARTY

22.1 There shall be Regional and National Labour Party Liaison Committees composed of individual members of the Labour Party to co-ordinate the Union’s work in the Labour Party, acting under the direction of the Executive Council.

22.2 Neither the Union, nor any constituent part of the Union, shall affiliate to or give support to the candidates of any other political party in Great Britain and Northern Ireland other than the Labour Party.
22.3 Each Branch of the Union in Great Britain and Northern Ireland shall be entitled to affiliate and elect delegates to Constituency Labour Parties in appropriate areas subject to agreement by the Regional Labour Party Liaison Committee and approval of the Executive Council.

22.4 A portion of the political fund, to be determined by the Executive Council, shall be remitted to each Region. The Executive Council will provide guidance to Regional Committees as to ownership and accountability for expenditure of their portion of the political fund.

22.5 All Branch Constituency Labour Party delegates together with representatives from the Regional Industrial Sector Committees, Area Activist Committees, Regional Equalities Committees and the Regional Committee, who are Labour Party members, shall be entitled to attend triennial Regional Labour Party Liaison Conferences. The Regional Labour Party Liaison Conferences shall elect Regional Labour Party Liaison Committees. Retired Member Plus, Community and Young Members are eligible to stand for election to Regional Labour Party Liaison Committees subject to meeting eligibility criteria specified elsewhere in these Rules. The size and composition of the Regional Labour Party Liaison Committees shall be determined by the Executive Council from time to time. The Regional Labour Party Liaison Committees shall also elect delegates who, together with delegates from the Executive Council, shall form a National Labour Party Liaison Committee, the size of which shall be determined by Executive Council.

22.6 The Executive Council shall designate a Regional Political officer in each Region, who shall act as Secretary to the Regional Labour Party Liaison Conference and Regional Labour Party Liaison Committee. Any individual Regional Political Officer may also have other responsibilities. The Executive Council is free to designate this role to a different employee at any time as it sees fit.

22.7 The Union shall be represented at the Labour Party Annual Conference by the National Labour Party Liaison Committee and others as determined by the Executive Council. The Regional Labour Party Liaison Committees shall represent the Union at the Labour Party regional conference.

22.8 The Union shall enter into Labour Party Constituency Development Plans subject to agreement by the Regional and National Labour Party Liaison Committees and sanction of the Executive Council.

22.9 The Union shall support a parliamentary group of Labour Party MPs who are members of the Union. Such support shall be determined by the Executive Council.

22.10 The Union shall maintain a panel of members wishing to seek political office including becoming member of the UK, Scottish, Welsh Assembly and European Parliaments and any such other public bodies as the Executive Council may decide. The composition, including the process and the qualifications required to become a member of the panel, shall be determined by the Executive Council.

22.11 The union’s representation on the Labour Party’s committee structure should, so far as is practicable, be made up equally of lay members and union officials, subject to ratification by the Executive Council or Regional Committee as appropriate.
RULE 23. POLITICAL FUND

Political Fund – Other than in Northern Ireland

23.1 The objects of the Union shall include the furtherance of the political objects to which section 72 of the Trade Union and Labour Relations (Consolidation) Act 1992 (the Act) applies, these objects are:

23.1.1 The expenditure of money –

23.1.1a on any contribution to the funds of, or on the payment of expenses incurred directly or indirectly by, a political party;

23.1.1b on the provision of any service or property for use by or on behalf of any political party;

23.1.1c in connection with the registration of electors, the candidature of any person, the selection of any candidate or the holding of any ballot by the Union in connection with any election to a political office;

23.1.1d on the maintenance of any holder of a political office;

23.1.1e on the holding of any conference or meeting by or on behalf of a political party or of any other meeting the main purpose of which is the transaction of business in connection with a political party;

23.1.1f on the production, publication or distribution of any literature, document, film, sound recording or advertisement the main purpose of which is to persuade people to vote for a political party or candidate or to persuade them not to vote for a political party or candidate.

23.2 Where a person attends a conference or meeting as a delegate or otherwise as a participator in the proceedings, any expenditure incurred in connection with his/her attendance as such shall, for the purposes of 23.1.1e above, be taken to be expenditure incurred on the holding of the conference or meeting.

23.3 In determining, for the purposes of paragraphs 23.1.1a to 23.1.1f, whether the Union has incurred expenditure of a kind mentioned in those paragraphs no account shall be taken of the ordinary administrative expenses of the Union.

23.4 In these objects –

“candidate” means a candidate for election to a political office and includes a prospective candidate;

“contribution”, in relation to the funds of a political party, includes any fee payable for affiliation to, or membership of, the party and any loan made to the party;

“electors” means electors at any election to a political office;
“film” includes any record, however made, of a sequence of visual images, which is capable of being used as a means of showing that sequence as a moving picture;

“local authority” means a local authority within the meaning of section 270 of the Local Government Act 1972 or section 235 of the Local Government (Scotland) Act 1973; and

“political office” means the office of member of Parliament, member of the European Parliament or member of a local authority or any position within a political party.

23.5 Any payments in the furtherance of such political objects shall be made out of a separate fund of the Union (hereinafter called the political fund).

23.6 The particular rules which apply to those people that joined the Union and to political funds set up before 1st March 2018 are set out in Schedule PF1 to these rules.

23.7 The particular rules which apply to those people that joined the Union and to political funds set up after 28th February 2018 are set out in Schedule PF2 to these rules.

23.8 A member who is exempt from the obligation to contribute to the political fund of the union shall not be excluded from any benefits of the union, or placed in any respect either directly or indirectly under any disability or disadvantage as compared with other members of the union (except in relation to the control or management of the political fund) by reason of his being so exempt.

23.9 If any member alleges that s/he is aggrieved by a breach of any of these rules for the political fund, being a rule or rules made pursuant to section 82 of the 1992 Act, s/he may complain to the Certification Officer, and the Certification Officer, after making such enquiries as s/he thinks fit and after giving the complainant and the union an opportunity of being heard, may, if s/he considers that such a breach has been committed, make such order for remedying the breach as s/he thinks just in the circumstances. Any such order of the Certification Officer may, subject to the right of appeal provided by section 95 of the 1992 Act, be enforced in the manner provided for in section 82(4) of the 1992 Act.

23.10 Contribution to the political fund of the union shall not be made a condition for admission to the union.

23.11 The union shall include in the annual return that is submitted to the Certification Officer details of political expenditure as required by section 32ZB of the 1992 Act.

23.12 The union shall ensure that a copy of the political fund rules is available, free of charge, to any member of the union who requests a copy.

PF1

Rules that apply to members that joined the Union before 1st March 2018

23.13 As soon as is practicable after the passing of a resolution approving the furtherance of such political objects as an object of the Union the Executive Council shall ensure that a notice in the following form is given to all members of the Union in accordance with this rule:-

Trade Union and Labour Relations (Consolidation) Act 1992 (as amended)
A resolution approving the furtherance of political objects within the meaning of the above Act as an object of the Union has been adopted by a ballot under the Act. Any payments in the furtherance of any of those objects will be made out of a separate fund, the political fund of the Union.

Every member of the Union has a right to be exempt from contributing to that fund. A form of exemption notice can be obtained by or on behalf of any member either by application at, or by post from, the head office or any branch office of the Union or from the Certification Office for Trade Unions and Employers’ Associations, Lower Ground Floor, Fleetbank House, 2-6 Salisbury Square, London EC4Y 8JX.

This form, when filled in, or a written request in a form to the like effect, should be handed or sent to the secretary of the branch to which the member belongs.

The notice shall be published to members by such methods as are customarily used by the Union to publish notices of importance to members and shall include the following minimum requirements. The notice shall be published in the Union’s main journal which is circulated to members and be available on the Union’s website. The secretary of each branch shall supply a copy to any member on request.

23.14 Any member of the Union may at any time give notice on the form of exemption notice specified in Clause (15) or by a written request in a form to the like effect, that he/she objects to contribute to the political fund. A form of exemption notice may be obtained by, or on behalf of, any member, either by application at, or by post from, the head office or any Branch office of the Union, or from the Certification Office for Trade Unions and Employers’ Associations Lower Ground Floor, Fleetbank House, 2-6 Salisbury Square, London EC4Y 8JX.

23.15 The form of exemption notice shall be as follows:-

Unite the Union

POLITICAL FUND EXEMPTION NOTICE

I hereby give notice that I object to contributing to the political fund of the Union and am in consequence exempt, in the manner provided by Chapter VI of the Trade Union and Labour Relations (Consolidation) Act 1992, from contributing to that fund.

Signature  .............................................................................................................................

Name ....................................................................................................................................

Address .................................................................................................................................

Name of Branch ....................................................................................................................

Membership No ........................................ Date ........................................

23.16 Any member may obtain exemption by sending such notice to the secretary of the Branch to which the member belongs and, on receiving it, the secretary shall send an
acknowledgement of its receipt to the member at the address in the notice, and shall inform the General Secretary of the name and address of that member.

23.17 On giving such notice, a member shall be exempt, so long as his/her notice is not withdrawn, from contributing to the political fund of the Union as from either: (a) the first day of January next after notice by the member is given, or, (b) in the case of a notice given within one month after the notice given to members under Clause (13) or after the date on which a new member admitted to the Union is supplied with a copy of these rules under Clause (12) as from the date on which the member’s notice is given.

23.18 The Executive Council shall give effect to the exemption of members to contribute to the political fund of the Union by relieving any members who are exempt from the payment of part of any periodical contributions required from the members of the Union towards the expenses of the Union as provided and such relief shall be given as far as possible to all members who are exempt on the occasion of the same periodical payment.

23.19 For the purposes of enabling each member of the Union to know as respects any such periodical contribution what portion, if any, of the sum payable by him/her is a contribution to the political fund of the Union, it is hereby provided that a sum equal to seventy percent of the weekly contribution rate of a full time member payable in the first week of each quarter is a contribution to the political fund and any member who is exempt shall be relieved from the payment of the said sum and shall pay the remainder of such contribution only.

PF2

Rules that apply to members that joined the Union after 28th February 2018

23.20 A member cannot be required to make a contribution to the political fund of the union unless they have given notice of their willingness to contribute to that fund (an “opt-in notice”).

23.21 A member of a trade union who has given an opt-in notice may withdraw that notice by giving notice to the union (a “withdrawal notice”).

23.22 A withdrawal notice takes effect at the end of the period of one month beginning with the day on which it is given.

23.23 A member of a trade union may give an opt-in notice or a withdrawal notice:

(a) by delivering the notice (either personally or by an authorised agent or by post) at the head office or a branch office of the union;

(b) by sending it by e-mail to the following email address membership@unitetheunion.org

(c) by completing an electronic form provided by the union which sets out the notice and sending it to the union by electronic means with instructions by the union.
(d) by any other electronic means prescribed under the 1992 Act (as inserted by the 2016 Act)

23.24 The union shall take all reasonable steps to secure that, not later than the end of the period of eight weeks beginning with the day on which the annual return of the union is sent to the Certification Officer, all the members of the union are notified of their right to give a withdrawal notice.

23.25 Such notification may be given:-

(a) by sending individual copies of it to members; or

(b) by any other means (whether by including the notification in a publication of the union or otherwise) which it is the practice of the union to use when information of general interest to all its members needs to be provided to them.

23.26 The notification may be included with the statement required to be given by Section 32A of the Trade Union and Labour Relations (Consolidation) Act 1992.

23.27 A trade union shall send to the Certification Officer a copy of the notification which is provided to its members in pursuance of this section as soon as is reasonably practicable after it is so provided.

23.28 Where the same form of notification is not provided to all the members of the union, the union shall send to the Certification Officer a copy of each form of notification provided to any of them.

23.29 The Executive Council shall give effect to the exemption of members to contribute to the political fund of the Union by relieving any members who are exempt from the payment of part of any periodical contributions required from the members of the Union towards the expenses of the Union as provided and such relief shall be given as far as possible to all members who are exempt on the occasion of the same periodical payment.

23.30 For the purposes of enabling each member of the Union to know as respects any such periodical contribution what portion, if any, of the sum payable by him/her is a contribution to the political fund of the Union, it is hereby provided that a sum equal to 10p per week or other such rate as time to time determined by the Executive Council is a contribution to the political fund and any member who is exempt shall be relieved from the payment of the said sum and shall pay the remainder of such contribution only.

23.31 Any form (including an electronic form) that a person has to complete in order to become a member of the union shall include:-

(a) a statement to the effect that the person may opt to be a contributor to the fund; and

(b) a statement setting to the effect that a person who chooses not to contribute to the political fund shall not, by reason of not contributing, be excluded from any benefits of the union or be placed in any respect either directly or indirectly under a disability or at a disadvantage as compared with other members of the union (except in relation to control of the political fund).
23.32 If any member alleges that s/he is aggrieved by a breach of any of these rules for giving information to members about opting into the political fund, being a rule or rules made pursuant to section 84A of the 1992 Act, s/he may complain to the Certification Officer. Where the Certification Officer is satisfied that the union has failed to comply with a requirement of section 84A of the 1992 Act the Officer may make such order for remedying the failure as s/he thinks just under the circumstances. Before deciding the matter the Certification Officer:-

(a) may make such enquiries as the Officer thinks fit;

(b) must give the union, and any member of the union who made a complaint to the Officer regarding the matter, an opportunity to make written representations; and

(c) may give the union, and any such member as is mentioned in clause (b), an opportunity to make oral representations.

Political Fund – Northern Ireland

Political Fund – Northern Ireland

23.33 Under Article 59 of the Trade Union and Labour Relations (Northern Ireland) Order 1995 no Northern Ireland member of the union shall be required to make any contribution to the political fund of the union as defined by Clause 1 of this Rule unless he/she has given to the Union notice in writing of his/her willingness to contribute to that Fund (an “opt-in” notice)

23.34 Any form (including an electronic form) that a person has to complete in order to become a member of the union shall include a statement to the effect that the person may opt to be a contributor to the Fund (an “opt-in” notice)

23.35 A Northern Ireland member of the union who has given an opt-in notice may withdraw that notice by giving notice to the union (a “withdrawal notice”)

23.36 A Northern Ireland member giving a withdrawal notice shall be deemed to have withdrawn as from the first day of January next after the delivery of the notice of withdrawal.

23.37 The notices referred to in Clause 33 -35 may be delivered personally by the member or by an authorised agent of the member, and any notice shall be deemed to have been delivered at the head or Branch office of the Union if it has been sent by post properly addressed to that office.

23.38 The Executive Council shall give effect to the exemption of Northern Ireland members to contribute to the political fund of the Union by relieving those members who are legally exempt from the payment of part of any periodical contributions required from the members of the Union towards the expenses of the Union as provided and such relief shall be given as far as possible to all members who are exempt on the occasion of the same periodical payment.

23.39 For the purpose of enabling each Northern Ireland member, who has opted to pay to the political fund, to know as respects any such periodical contribution what portion of the sum payable by him/her is a contribution to the political fund of the Union, it is hereby provided that:

(i) For members who joined before 1st March 2018 a sum equal to seventy percent of the weekly contribution rate of a full time member payable in the first week of each quarter is a
contribution to the political fund and any member who is exempt shall be relieved from the payment of the said sum and shall pay the remainder of such contribution only.

(ii) For members who joined after 1st March 2018 a sum equal to 10 pence per week or other such rates as from time to time determined by the Executive Council is a contribution to the political fund and any member who is exempt shall be relieved from the payment of the said sum and shall pay the remainder of such contribution only.

23.40 Northern Ireland members who are statutorily exempt from the obligation to contribute to the political fund of the Union shall not be excluded from any benefits of the Union, or placed in any respect either directly or indirectly under any disability or disadvantage as compared with other members of the Union (except in relation to the control or management of the political fund) by reason of their being exempt.

23.41 Contribution to the political fund of the Union shall not be made a condition for admission to the Union.

23.42 If any Northern Ireland member alleges that he/she is aggrieved by a breach of any of the rules made pursuant to Article 59 of the Trade Union and Labour Relations (Northern Ireland) Order 1995 he/she may complain to the Northern Ireland Certification Officer, 10-16 Gordon Street, Belfast, BT1 2LG, under Article 57 (2) to (4) of that Order.

23.43 If after giving the complainant and a representative of the Union an opportunity to be heard, the Certification Officer considers that a breach has been committed, he/she may make an order for remedying it as he/she thinks just in the circumstances. Under Article 70 the Industrial Relations (N.I.) Order 1992 (as amended) an appeal against any decision of the Certification Officer may be made to the Court of Appeal on a question of law.

23.44 Additionally, if any Northern Ireland member alleges that he/she is aggrieved by a breach of the political fund rules made pursuant to section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 he/she may complain to the GB Certification Officer, 22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ. If, after giving the complainant and representative of the Union an opportunity of being heard, the GB Certification Officer considers that a breach has been committed, he/she may make an order for remedying it as he/she thinks just in the circumstances. Any such order of the GB Certification Officer is subject to the right of appeal provided for by section 82 (4) of the 1992 Act.

Political Fund – Republic of Ireland

23.45 Clauses (1) – (19) (bar 5 and 6) of this rule shall have effect for all members in the Republic of Ireland subject to the following modifications:

23.45.1 all references to statutory provisions therein shall be construed as references to the appropriate legislation in the Republic of Ireland.

23.45.2 “political office” means the office of President, member of Dail Eireann, member of Seanad Eireann, member of the European Parliament, or any member of a local authority.

23.45.3 Forms of exemption notice may be obtained at or by post from any Union office within the Republic of Ireland.
23.45.4 A sufficient number of the forms of exemption notice shall be available at each office of the Union within the Republic of Ireland and any member shall be provided with a copy of such form on his/her request.

RULE 24. IRELAND

24.1 There shall be an Irish Executive Committee which shall make decisions in matters of an industrial or political nature which arise out of and in connection with the economic or political condition of the Republic of Ireland or Northern Ireland and which are of direct concern to members of the Union resident in the Republic of Ireland or Northern Ireland and which do not affect members of the Union not so resident. Each member of the Irish Executive Committee shall be resident in the Republic of Ireland or Northern Ireland.

24.2 The Irish Executive Committee shall be composed of elected lay members who are resident in the Republic of Ireland or Northern Ireland.

24.3 The size and composition of the Irish Executive Committee shall be determined by the Executive Council provided that the gender and ethnic balance of elected representatives at least reflect proportionality of the membership they represent.

24.4 The procedure for qualifications, election and nomination of representatives to the Irish Executive Committee shall be determined by the Executive Council. The Executive Council may organise constituencies both by reference to Industrial Sectors and geographic area following consultation with appropriate constitutional committees in Ireland.

24.5 The Irish Executive Committee shall take the place and have the powers, duties and responsibilities of the Regional Committee for Ireland. The Regional Secretary shall act as secretary to the Irish Executive Committee and shall be responsible for implementing its decisions.

24.6 The Irish Executive Committee shall meet once a quarter or more frequently if, in the opinion of the Regional Secretary, the business renders it necessary. The Regional Secretary is responsible for convening all meetings.

24.7 The Irish Executive Committee shall have power to appoint one or more sub-committees from among its members and, except where otherwise determined by the Executive Council, shall have the power to delegate to any such sub-committee all or any of its powers including therein the conduct of hearings, appeals, inquiries, investigations or any other proceedings or functions whatever which it is authorised by these rules to undertake.

24.8 The Executive Council, in consultation with the Irish Executive Committee, shall determine the number of delegates to be elected to the conferences/congresses of organisations in Ireland to which the Union is affiliated.

24.9 In alternate years to the Union’s Policy Conference there shall be an Irish Policy Conference, the size and composition of which shall be determined by the Executive Council provided that the gender and ethnic balance of elected representatives at least reflect proportionality of the membership they represent.
For the purpose of electing delegates to the Irish Policy Conference the Executive Council may organise constituencies both by reference to Industrial Sectors and geographic area following consultation with Irish Executive Committee.

The procedure for qualifications, election and nomination of representatives to the Irish Policy Conference shall be determined by the Executive Council in consultation with the Irish Executive Committee.

The Irish Executive Committee shall determine the number of policy motions which may be submitted by the Branch; area regional; industrial and equalities structures, and from the Irish Executive Committee itself. The Irish Executive Committee shall submit a report to the Irish Policy Conference on the activities of the Union within Ireland.

The chair of the Irish Executive Committee shall chair the Irish Conference.

Resolutions of the Irish Conference concerning general policy matters affecting members employed in the Republic shall, provided they are not inconsistent with the general policy and objectives of the Union, constitute the policy of the Union in the Republic and shall be binding upon the Irish Executive Committee.

In respect of each Industrial Sector, the Executive Council shall determine, in consultation with the Irish Executive Committee, whether to convene separate Sector Conferences for the Republic of Ireland and Northern Ireland instead of convening a Regional Sector Conference for the whole of Ireland. Policy decisions of a Sector Conference for the Republic of Ireland shall decide the Union’s policy in the Republic for that sector provided they are not inconsistent with the general policy and objectives of the Union.

RULE 25. REPUBLIC OF IRELAND – STRIKES AND OTHER INDUSTRIAL ACTION

The provisions of this rule shall apply notwithstanding any other provision contained in these rules.

In this rule the terms “strike” and “industrial action” shall have the same meaning as in the Industrial Relations Act 1990 of the Republic of Ireland.

In this rule the term “member” shall have the same meaning as elsewhere in these rules.

The provisions of this rule shall apply to the Republic of Ireland only.

The Union shall not organise, participate in, sanction or support a strike or other industrial action without a secret ballot, entitlement to vote in which shall be accorded equally to all members whom it is reasonable at the time of the ballot to believe will be called upon to engage in the strike or other industrial action.

The Union shall take reasonable steps to ensure that every member entitled to vote in the ballot votes without interference from, or constraint imposed by, the Union or any of its members, officials or employees and, so far as is reasonably possible, that such members shall be given a fair opportunity of voting.
25.7 The Irish Executive Committee shall have full discretion in relation to organising, participating in, sanctioning or supporting a strike or other industrial action notwithstanding that the majority of those voting in the ballot, including an aggregate ballot referred to in clause (8) of this rule, favours such strike or other industrial action.

25.8 The Irish Executive Committee shall not organise, participate in, sanction or support a strike or other industrial action against the wishes of a majority of the Union’s members voting in a secret ballot, except where, in the case of a ballot by more than 1 trade union, an aggregate majority of all the votes cast favours such strike or other industrial action.

25.9 Where the outcome of a secret ballot conducted by the Union or in the case of ballots conducted by the Union and any number of other trade unions which are affiliated to the Irish Congress of Trade Unions an aggregate majority of all the votes cast is in favour of supporting a strike organised by another trade union, a decision to take such supportive action shall not be implemented by the Union without the sanction of the Irish Congress of Trade Unions.

25.10 As soon as practicable after the conduct of a secret ballot the Union shall take reasonable steps to make known to the members of the Union entitled to vote in the ballot:

25.10.1 the number of ballot papers issued
25.10.2 the number of votes cast
25.10.3 the number of votes in favour of the proposal
25.10.4 the number of votes against the proposal, and
25.10.5 the number of spoiled votes.

25.11 Nothing in this rule shall constitute an obstacle to negotiations for the settlement of a trade dispute nor the return to work by members of the Union party to the trade dispute, and any decision take in accordance with this rule to organise, participate in, sanction or support a strike or industrial action may be rescinded or amended without the necessity of a further ballot of the members concerned.

RULE 26. ISLE OF MAN

The Union shall Register with the relevant Isle of Man authorities in accordance with the laws applying thereto including the Trade Unions Act 1991.

RULE 27. MEMBERSHIP DISCIPLINE

27.1 A member may be charged with:

27.1.1 Acting in any way contrary to the rules or any duty or obligation imposed on that member by or pursuant to these rules whether in his/her capacity as a
member, a holder of a lay office or an employee of the Union or otherwise bringing the Union into disrepute.

27.1.2 Being a party to any fraud on the Union or any misappropriation or misuse of its funds or property.

27.1.3 Knowingly, recklessly or in bad faith providing the Union with false or misleading information relating to a member or any other aspect of the Union’s activities.

27.1.4 Inciting, espousing or practising discrimination or intolerance amongst members on grounds of race, ethnic origin, religion, age, gender, disability or sexual orientation.

27.1.5 Bringing about injury to or discredit upon the Union or any member of the Union including the undermining of the Union, branch or workplace organisation and individual workplace representatives or branch officers.

27.1.6 Obtaining membership of the Union by false statement material to their admission into the Union or any evasion in that regard.

27.1.7 Breach of the Union’s policies on harassment, dignity and respect, which will include cyber bullying and harassment.

27.2 Disciplinary Hearings shall be organised and conducted under directions issued by the Executive Council. These directions ensure that the process is fair and conducted in accordance with the principles of natural justice.

27.3 A charge under this rule may be heard by a Branch, Branch Committee (where so determined by the Branch), Regional Committee or the Executive Council. The Executive Council may delegate to a sub-committee of the Executive Council. It would be usual practice that disciplinary charges would be heard at branch level in the first instance. Disciplinary charges deemed to be of a serious nature may be initiated by the Regional committee or Executive Council.

27.3.1 Serious allegations of breach of Clauses 27.1.1 to 27.1.7 may be referred directly to the General Secretary. The General Secretary will appoint a senior employee of the Union to conduct an investigation which may lead to disciplinary charges being laid on behalf of the Executive Council.

27.3.2 Allegations of serious breaches of clauses 27.1.1 to 27.1.7 which are considered to be vexatious, malicious or defamatory may be considered a breach of Rule and liable to be referred to this disciplinary procedure.

27.4 The Executive Council or the relevant Regional Committee may suspend a member charged under this rule from holding any office or representing the Union in any capacity pending its decision. A member shall be given written notice (or, if the member was informed verbally confirmation in writing) of any such suspension as soon as is reasonably practicable. In cases of a serious nature, as a precautionary measure, a member under investigation prior to disciplinary charges being laid may be suspended from holding office or representing the union in any capacity.
27.4.1 A member under disciplinary investigation or charged with a disciplinary offence, including workplace representatives or branch officers suspended from holding office, may not attend:

- Meetings of his/her own branch;
- Meetings of other branches of the Union; or,
- Constitutional committee meetings of the Union

Other than as part of the disciplinary process as set out in this Rule.

27.4.2 If allegations against a member are proven to be unfounded they will be restored in good standing. If appropriate, their credentials will be restored.

27.5 The range of disciplinary sanctions shall include the following:

27.5.1 censure;
27.5.2 withdrawal of workplace credentials;
27.5.3 removal from office;
27.5.4 barring from holding office and
27.5.5 expulsion.

27.6 The full range of disciplinary sanctions shall be available to the Executive Council and Regional Committees; however the range of disciplinary sanctions for other bodies shall be limited to the following:

27.6.1 Branch, shall have the power to censure;

27.7 Appeals

27.7.1 A member shall have the right to appeal against any disciplinary sanctions.

27.7.2 In the case of a sanction imposed by a Branch, or Branch Committee, the appeal shall be to the Regional Committee, whose decision shall be final.

27.7.3 In the case of a sanction imposed by a Regional Committee the appeal to shall be to the Executive Council, whose decision shall be final.

27.7.4 In the case of disciplinary action initiated by the Executive Council the appeal shall be to an Appeals Committee elected from the Policy Conference, whose decision shall be final. Such an Appeals Committee shall be constituted on the basis of at least one delegate from each Region, under a procedure to be agreed by the Executive Council. There shall be an eligibility criterion to serve on the Appeals Committee of at least 5 years continuous membership of the Union.

27.8 An employee may not be charged under this rule in respect of any alleged act or omission in connection with the performance of his/her duties as a full time officer and/or employee of the Union. Complaints against employees shall be investigated under the Members’ Complaints Procedure agreed by the Executive Council and if
disciplinary action is deemed appropriate this shall be executed under the procedures negotiated with employees’ representative bodies for that purpose.

RULE 28 COMMUNITY/STUDENT MEMBERS

28.1 Unite Community Membership shall be open to all not in paid employment as well as those not seeking employment. The sections aims are to organise, campaign, protest and mobilise, both independently as well as alongside our industrial, young and retired members, in order to progress matters of interest and/or concern to our community and wider industrial membership, provided that such activities are not inconsistent with the general policy and objectives of the Union.

28.2 Other than vocational students, who shall be organised within the appropriate industrial sector, Community and Student Members shall be organised in local groups and wider Area Community Branches. In each Region there shall be Regional Community Campaign Forum meetings as appropriate, in order to plan, coordinate, organise and evaluate campaigns and activities. There shall be National Community Campaign Forum meetings as appropriate, drawn from activists at the regional level.

28.3 Each Regional Community Campaign Forum shall elect an observer to sit on the Regional Committee, who shall not have voting rights at that committee.

28.4 Each Regional Community Campaign Forum may submit a motion to be considered by the Regional Committee.

28.5 Where there is a Regional Community Campaign Forum and the Community Membership is large and resilient enough the Executive Council may decide to transform the forum into a Regional Community Membership Committee.

28.6 There shall be a national conference of Community Members held every two years. The Executive Council shall be responsible for convening this conference which shall be made up of delegates elected by the Regional Community Campaign Forums. The decisions of this conference will be subject to ratification by the Executive Council.

28.7 The National Community Campaign Forum shall elect an observer to the National Labour Party Liaison Committee.

28.8 When the Executive Council is content that the Community Membership is of a sufficient size they may elect an observer from the National Community Campaign Forum to the Executive Council.

28.9 Community/Student Member activists are entitled to attend Area Activists Meetings and the Regional Labour Party Liaison Conference (if Unite GC delegates) and to stand for election for at least 2 defined Community/Student Member seats on Area Activist Committees and Regional Labour Party Liaison Committees, elected by Community/Student Members attending the Meeting.
RULE 29 SCOTLAND

29.1 There shall be a Scottish Executive Committee which shall make decisions in matters of an industrial or political nature which arise out of and in connection with the economic or political condition of the Scotland and which are of direct concern to members of the Union in Scotland and which do not affect other members of the Union.

29.2 The size and composition of the Scottish Executive Committee shall be determined by the Executive Council provided that the gender and ethnic balance of elected representatives at least reflect proportionality of the membership they represent.

29.3 The procedure for qualifications, election and nomination of representatives to the Scottish Executive Committee shall be determined by the Executive Council. The Executive Council may organise constituencies both by reference to Industrial Sectors and geographic area following consultation with appropriate constitutional committees in Scotland.

29.4 The Scottish Executive Committee shall take the place and have the powers, duties and responsibilities of the Regional Committee for Scotland. The Regional Secretary shall act as secretary to the Scottish Executive Committee and shall be responsible for implementing its decisions.

29.5 The Scottish Executive Committee shall meet once a quarter or more frequently if, in the opinion of the Regional Secretary, the business renders it necessary. The Regional Secretary is responsible for convening all meetings.

29.6 The Scottish Executive Committee shall have power to appoint one or more sub-committees from among its members and, except where otherwise determined by the Executive Council, shall have the power to delegate to any such sub-committee all or any of its powers including therein the conduct of hearings, appeals, inquiries, investigations or any other proceedings or functions whatever which it is authorised by these rules to undertake.

29.7 The Executive Council, in consultation with the Scottish Executive Committee, shall determine the number of delegates to be elected to the conferences/congresses of organisations in Scotland to which the Union is affiliated.

29.8 In alternate years to the Union’s Policy Conference there shall be a Scottish Policy Conference, the size and composition of which shall be determined by the Executive Council provided that the gender and ethnic balance of elected representatives at least reflect proportionality of the membership they represent.

29.9 For the purpose of electing delegates to the Scottish Policy Conference the Executive Council may organise constituencies both by reference to Industrial Sectors and geographic area following consultation with Scottish Executive Committee.

29.10 The procedure for qualifications, election and nomination of representatives to the Scottish Policy Conference shall be determined by the Executive Council in consultation with the Scottish Executive Committee.

29.11 The Scottish Executive Committee shall determine the number of policy motions which may be submitted by the Branch; area regional; industrial and equalities structures, and
from the Scottish Executive Committee itself. The Scottish Executive Committee shall submit a report to the Scottish Policy Conference on the activities of the Union within Scotland.

29.12 The chair of the Scottish Executive Committee shall chair the Scottish Conference.

29.13 Resolutions of the Scottish Conference concerning general policy matters affecting members employed in the Scotland shall, provided they are not inconsistent with the general policy and objectives of the Union, constitute the policy of the Union in the Scotland and shall be binding upon the Scottish Executive Committee.

**RULE 30  GIBRALTAR**

30.1 Gibraltar shall have an Area Activist Meeting of lay members comprised of elected representatives from companies, sectors and branches based in Gibraltar. The first of these meetings in an electoral period shall elect a Gibraltar Committee for the purposes of local administration; the size and composition of which will be subject to the approval of the Executive Council. The Gibraltar Committee shall elect from its number an observer delegate to the Executive Council and who will brief the Gibraltar Committee after each Executive Council.

30.2 The Executive Council can agree where industrially, organisationally or politically relevant for observer or voting delegates to attend other constitutional committees and/or conference whether permanently or periodically. Such an undertaking would also extend to other appropriate delegations elected from regional constitutional committees.

**RULE 31.  OFFICIAL ANNOUNCEMENTS**

The Union shall maintain a website and posting information on this website, together with either by post or e-mail to branch secretaries, all members of Regional Industrial Sector Committees, National Industrial Sector Committees, regional and national committees, shall be the official means of making announcements to members on matters of general interest concerning the affairs of the Union.

**RULE 32.  VOLUNTARY DISSOLUTION**

31.1 The Union may be dissolved by a resolution supported by not less than 80% of votes cast in a postal ballot of all the members.

31.2 After discharging all debts and liabilities the remaining assets of the Union, if any, shall be distributed equally between the members at the date of dissolution on the basis of their complete years of membership, each year comprising one share, unless the members when voting for dissolution shall have resolved to the contrary.
RULE 33.  EXERCISE OF UNION POWERS IN THE PENSION SCHEMES

Any power which continues to be exercisable by a sponsoring employer or by any body, officer or employee of that sponsoring employer by reference to the trust deeds and rules of the Unite Ireland Pension Scheme or of any legacy union pension scheme which has been merged directly or indirectly into the Unite Pension Scheme shall be exercisable by the Union. Any power which is exercisable by the Union under these trust deeds and rules or under the trust deeds and rules which govern the Unite Pension Scheme from time to time shall be exercisable by the Executive Council or by an individual or body to whom the Executive Council has delegated this power in writing on any basis which it decides.

RULE 34.  HEALTH AND SAFETY

34.1 Each Region shall have an annual Regional Health and Safety Conference’ all accredited Health and Safety representatives within the region to be invited to the Regional Conference.

34.2 Each Regional Committee to constitute a Regional Health & Safety Committee.

34.3 Two Health and Safety representatives from each region to be elected to a National Health & Safety Committee that will meet annually and provide a report to the Executive Council.

34.4 There will be one National Health and Safety Conference every three years made up from 20 accredited Health and Safety Representatives per Region.
EXECUTIVE COUNCIL GUIDANCE - NOTICE TO MEMBERS:

The Executive Council issues guidance on the implementation of several of the rules contained in this book. This guidance is updated from time to time as required. The rule book should therefore be read in conjunction with the Executive Council Guidance on Rules.

The Executive Council Guidance is published on the Unite website. The relevant page can be reached using this link:

http://www.unitetheunion.org/growing-our-union/about-us/structure/
Appendix to Rule Book - List of Industrial Sectors:

- Aerospace and Shipbuilding
- Automotive Industries
- Chemical, Pharmaceuticals, Process and Textiles
- Civil Air Transport
- Community, Youth Workers and Not for Profit
- Docks, Rail, Ferries and Waterways
- Education
- Engineering, Manufacturing and Steel
- Energy and Utilities
- Finance and Legal
- Food, Drink and Agriculture
- Graphical, Paper, Media and Information Technology
- Health
- Local Authorities
- Government, Defence, Prisons & Contractors Industrial Sector
- Passenger Transport
- Road Transport Commercial, Logistics and Retail Distribution
- Service Industries
- Unite Construction, Allied Trades and Technicians